

VERIFICATION PROTOCOL

DLI#3: PUBLIC FINANCING OF APPROVED WATER SECURITY PLANS THROUGH CONVERGENCE OF ONGOING/ NEW SCHEME

1. BACKGROUND

DLI#3 provides an incentive to focus financing on groundwater to priority measures identified through the bottom-up groundwater planning process i.e. the water security plans. It will help to align the implementation of various programs and improve the effectiveness of financing on groundwater by moving to more coordinated investment in sustainable groundwater management. The DLI is defined as the aggregate amount of funds (excluding Atal Bhujal Yojana) spent in a given Year for implementation of the interventions of approved WSP activities.

2. VERIFICATION FRAMEWORK

The verification protocol for incentive claim under DLI#3 comprises of three broad steps.

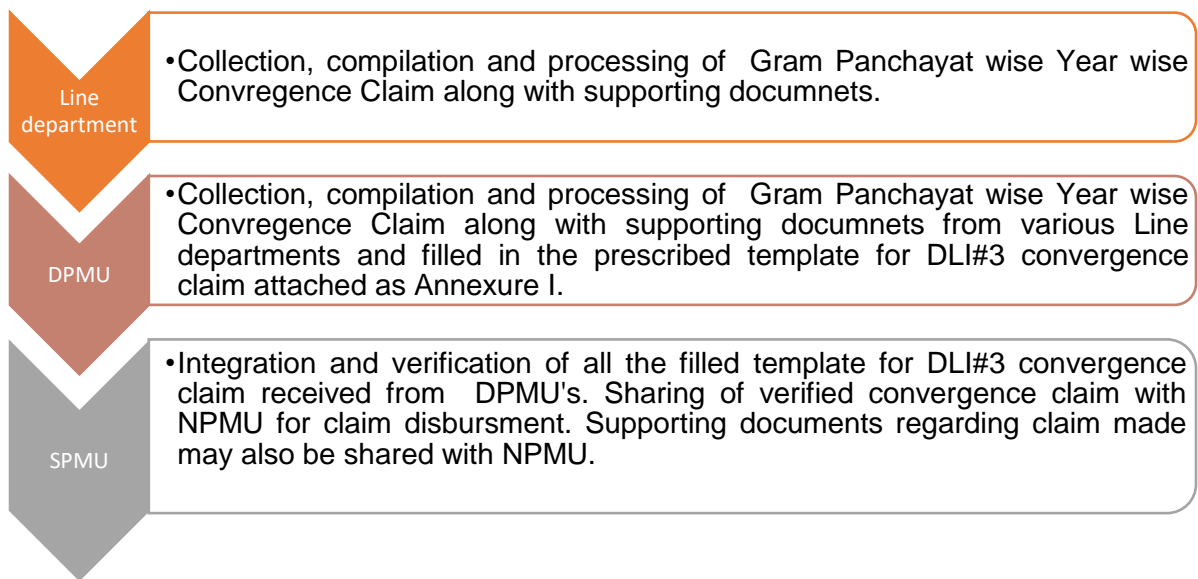
Step I: Collection and collation of data by SPMU / DPMU in respect of expenditures incurred through convergence of ongoing / new schemes by various line departments and through other means towards implementation of interventions of approved Water Security Plan (WSP).

Step II: Submission of DLI#3 incentive claim by the SPMU in the prescribed format duly filled and verified based on the documentary proof.

Step III: Desktop and physical Verification of the submitted convergence claim under DLI#3

2.1 Collection and collation of convergence claim data from line departments

- All the states (SPMU) have to collect Gram Panchayat wise and year wise convergence data from various line departments for the implementation of approved WSP activities viz demand side and supply side both as per the devised mechanism shown below.



While compiling the data of expenditure acquired from various line departments / sources for a specified period against the convergence claim of DLI#3 and transferring the data to the claim format, the following points may be considered.

- In case if any State finds it difficult to achieve the convergence through existing/new State/Central Government Schemes then the expenditure may be made by any of the agencies such as under CSR/Trust/NGO and shall be considered while claiming the incentive amount after due authentication of documents,
- The expenditure under self-financing, such as drip/ sprinkler installed by a farmer through his own resources may be considered eligible under incentive claim.
- Any expenditure towards activities forming part of WSP undertaken from ongoing Gram Panchayat schemes such as GPDP/Finance commission etc., may be considered under incentivization.

The incentive claims against the following points shall not be considered eligible.

- If the interventions implemented on ground with convergence is not at all proposed in approved WSP.
- if the claimed amount against the number of structures constructed on ground is more than the numbers proposed in WSP for supply side interventions,
- Convergence claim made under farmer share in case of partially subsidized scheme such as PMKSY etc.

2.2 Submission of DLI#3 incentive claim by the SPMU in the prescribed format

- Convergence claim template (Annexure-I) contains two section viz., GP wise WSP approved physical and financial targets of both demand side and supply side interventions and actual interventions implemented on ground through convergence with various line departments and any other means both physical and financial aspects.

- A consolidated declaration needs to be submitted by SPMU as per the format given in Annexure – II.
- The certificate / signature of PD, SPMU shall be accepted with the assumption that all the relevant documents towards incentive claim has been duly checked and verified at the level of SPMU.
- The DPMU's should have kept all the supporting documents related to demand side and supply side interventions against which the incentive claim has been made from line departments / other sources under their custody. The same may be produced at the time of physical verification by QCI or any authorized person.

2.3 Verification of the submitted convergence claim - Verification of DLI#3 convergence claim shall be done in two stages

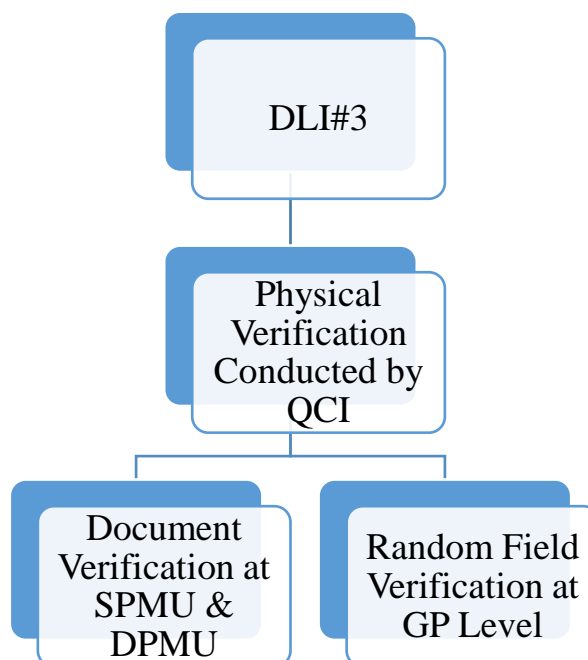
Stage - I: Desktop assessment of the submitted convergence claim at NPMU

Desktop assessment of the submitted convergence claim will be done by NPMU to arrive at admitted claim based on the claim document submitted by SPMU, the process followed are as below

- Assessment of the submitted claim with approved WSP to ensure that the Gram Panchayat wise implemented interventions as shown in the claim template are in line as proposed in the approved WSP.
- Examination of the submitted consolidated declaration form by SPMU for the convergence claim as per the format given in Annexure – II.
- After the desktop assessment, NPMU shall provide the admitted convergence claim template to QCI for further physical verification.

Stage – II: Physical Verification by QCI

The physical verification by QCI as TPGVA shall be done in the following ways-



- For physical verification, it will be ensured that the 20% of the sampled GPs considered for verification by QCI are randomly selected and spatially distributed across the seven states under Atal Bhujal Yojana.
- During Document verification, QCI will visit the SPMU/DPMU for the collection and verification of the source/supporting documents pertaining to the demand side and supply side interventions against which the incentive claim has been made.
- During field assessment, QCI would randomly visit the sampled site to verify the demand and supply side interventions under DLI#3
 1. Demand Side interventions will be assessed through focused group discussion/ individual interviews
 2. Supply Side interventions will be assessed through inspection of the structures created or renovated, random feedback surveys/focused group discussion etc.
- The field verification shall be carried out with the help of GP officials, DPMU/SPMU and DIPs.

Note : During field verification concerned DIP will help in better mobilisation of beneficiaries.

Annexure II

DECLARATION FOR DLI#3

This is to certify that the template for DLI#3 claim have been filled following the mechanism as mentioned in the verification protocol for DLI#3.

The expenditure of funds and work/activity, as per the claim format have been made by the concerned line departments in the Gram Panchayat for the FY 2021-22 (Oct, 2021 to March 2022) for implementation of interventions proposed in the approved Water Security Plans.

The total convergence claim for FY ----- for the state of ----- is ----- Cr.

The claim template has been prepared under my supervision and it is submitted that the data/information furnished in the template for convergence claim is true to the best of my knowledge.

Project Director
SPMU, Atal Bhujal Yojana,